

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/602,113  
Applicant(s) : Young et al.  
Filed : June 23, 2003  
TC/A.U. : 1743  
Examiner : Paul S.H. Huyn  
For : **CAPS FOR SAMPLE WELLS AND MICROCARDS FOR BIOLOGICAL MATERIALS**  
Conf. No. : 2441

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Applicants submit herewith patents, publications or other information (listed on the attached Form PTO 1449) of which they are aware, which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR §1.56.

This Information Disclosure Statement:

- ☐ (a) accompanies a new patent application submitted herewith.
- ☐ (b) is filed within three months after the filing date of the application or within three months after the date of entry of the national stage of a PCT application as set forth in 37 CFR §1.491.
- ☐ (c) as far as is known to the undersigned, is filed before the mailing date of a first Office action on the merits.

☒ (d) as far as is known to the undersigned, is filed before the mailing date of a first Office action after the filing of a request for continued examination under § 1.114.

☐ (e) is filed after the first Office Action and more than three months after the application's filing date or PCT national stage date of entry filing but, as far as is known to the undersigned, prior to the mailing date of either a final action under §1.113, a notice of allowance under §1.311, whichever occurs first, and is accompanied by either the fee (\$180) set forth in 37 CFR §1.17(p) or a certification as specified in 37 CFR §1.97(e), as checked below. Should any fee be due, the U.S. Patent and Trademark Office is hereby authorized to charge Deposit Account No. 01-2213 in the amount of \$180.00 to cover the cost of this Information Disclosure Statement. Any deficiency or overpayment should be charged or credited to this deposit account. **A duplicate of this sheet is enclosed.**

☐ (f) is filed after the mailing date of either a final rejection or a notice of allowance, but on or before the payment of the issue fee, and is accompanied by the fee (\$180) set forth in 37 CFR §1.17(i)(1) **and** a certification as specified in 37 CFR §1.97(e), as checked below. **This document is to be considered as a petition requesting consideration of the information disclosure statement.** The U.S. Patent and Trademark Office is hereby authorized to charge Deposit Account No. 01-2213 in the amount of \$180.00 to cover the cost of this Information Disclosure Statement. Any deficiency or overpayment should be charged or credited to this deposit account. **A duplicate of this sheet is enclosed.**

[If either of boxes (e) or (f) is checked above, the following "certification" under 37 CFR §1.97(e) may need to be completed.] The undersigned certifies that:

☐ Each item of information contained in the information disclosure statement was first cited in a communication mailed from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.

- ☐ No item of information contained in this information disclosure statement was cited in a communication mailed from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this information disclosure statement.

A copy of the items on PTO Form 1449 that are not United States Publications:

- ☒ are supplied herewith.  
☐ are not supplied because they were previously cited by or submitted to the Office in prior application Serial No. \_\_\_\_\_, filed \_\_\_\_\_ and relied upon in this application for an earlier filing date under 35 USC §120.

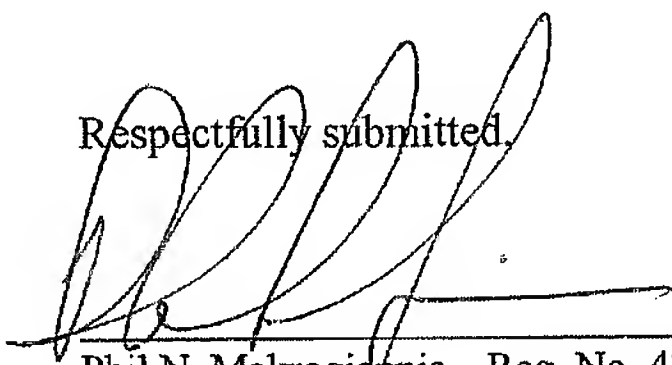
While the information and references disclosed in this Information Disclosure Statement may be "material" pursuant to 37 CFR §1.56, it is not intended to constitute an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR §1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR §1.56(a) exists. It is submitted that the Information Disclosure Statement is in compliance with 37 CFR §1.98 and MPEP §609 and the Examiner is respectfully requested to consider the listed references.

Date: March 16, 2007

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Respectfully submitted,

  
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U.S. DEPARTMENT OF COMMERCE, PATENT AND TRADEMARK OFFICE					Atty Docket No.	Application No.		
					4847	10/602,113		
REFERENCES CITED BY APPLICANTS					Applicant			
(Use several sheets if necessary)					Young et al.			
					Filing Date	Group		
					June 23, 2003	1743		
U.S. PATENT DOCUMENTS								
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	1.	4,599,315 A	07-08-1986	Terasaki				
FOREIGN PATENT DOCUMENTS								
							TRANSLATION	
		DOCUMENT Number	DATE	COUNTRY	CLASS	SUBCLASS	YES	NO
	2.	400 638 B	02-26-1996	AT			<input type="checkbox"/>	<input type="checkbox"/>
	3.	0 545 284 A1	06-09-1993	EP			<input checked="" type="checkbox"/>	<input type="checkbox"/>
	4.	0 545 673 A1	06-09-1993	EP			<input checked="" type="checkbox"/>	<input type="checkbox"/>
							<input type="checkbox"/>	<input type="checkbox"/>
							<input type="checkbox"/>	<input type="checkbox"/>
							<input type="checkbox"/>	<input type="checkbox"/>
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)								
	5.	European Search Report for Application no. EP 06 02 5970 dated 5 February 2007, along with opinion on patentability by the Search Division.						
Examiner					Date Considered			
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.								